

Financial Statement - Auditor's Report Candidate - Form 4

Municipal Elections Act, 1996 (Section 88.25)

Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

YYYY	MM	DD
2018	07	09

 to

YYYY	MM	DD
2018	12	31

 1.G.S.

☐ Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)

☐ Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name or Single Name

SINCLAIR

Given Name(s)

IAN

Office for which the candidate sought election

REGIONAL COUNCILLOR

Ward name or no. (if any)

WARD 1

Municipality

TOWN OF CALEDON

Spending Limit - General

\$ 11,464.25

Spending Limit - Parties and Other Expressions of Appreciation

\$ 1146.43

☐ I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

Box B: Declaration

I, IAN G. SINCLAIR, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

I. G. Sinclair

Signature of Candidate

2018/03/27

Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd)

2019/03/27

Time Filed

10:00 AM

Initial of Candidate or Agent (if filed in person)

I.G.S.

Signature of Clerk or Designate



Box C: Statement of Campaign Income and Expenses**LOAN**

Name of bank or recognized lending institution _____

Amount borrowed \$ NIL**INCOME**

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	8028.43
Revenue from items \$25 or less	+ \$	NIL
Sign deposit refund	+ \$	110.7
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	NIL
Interest earned by campaign bank account	+ \$	NIL
Other (provide full details)		
1. _____	+ \$	NIL
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
Total Campaign Income (Do not include loan)	= \$	8138.43 C1

EXPENSES (Note: include the value of contributions of goods and services)**Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$	228.94
Advertising	+ \$	NIL
Brochures/flyers	+ \$	5080.62
Signs (including sign deposit)	+ \$	2271.58
Meetings hosted	+ \$	NIL
Office expenses incurred until voting day	+ \$	NIL
Phone and/or internet expenses incurred until voting day	+ \$	NIL
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	NIL
Bank charges incurred until voting day	+ \$	199.96
Interest charged on loan until voting day	+ \$	NIL
Other (provide full details)		
1. _____	+ \$	126.56
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
Total Expenses subject to general spending limit	= \$	7907.66 C2

EXPENSES**Expenses subject to spending limit for parties and other expressions of appreciation**

1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
Total Expenses subject to spending limit for parties and other expressions of appreciation	= \$	NIL C3

Expenses not subject to spending limits

Accounting and audit

+ \$ NIL

Cost of fundraising events/activities (list details in Part IV of Schedule 2)

+ \$ NIL

Office expenses incurred after voting day

+ \$ NIL

Phone and/or internet expenses incurred after voting day

+ \$ NIL

Salaries, benefits, honoraria, professional fees incurred after voting day

+ \$ NIL

Bank charges incurred after voting day

+ \$ NIL

Interest charged on loan after voting day

+ \$ 30.22

Expenses related to recount

+ \$ NIL

Expenses related to controverted election

+ \$ NIL

Expenses related to compliance audit

+ \$ NIL

Expenses related to candidate's disability (provide full details)

+ \$ NIL1. _____ + \$ NIL2. _____ + \$ /3. _____ + \$ /4. _____ + \$ /5. _____ + \$ /

Other (provide full details)

1. _____ + \$ NIL2. _____ + \$ /3. _____ + \$ /4. _____ + \$ /5. _____ + \$ /**Total Expenses not subject to spending limits**= \$ 30.22 C4**Total Campaign Expenses (C2 + C3 + C4)**= \$ 7937.38 C5**Box D: Calculation of Surplus or Deficit**Excess (deficiency) of income over expenses (Income minus Total Expenses)
(C1 – C5)+ \$ 201.05 D1Eligible deficit carried forward by the candidate from the last election
(applies to 2018 regular election only)- \$ NIL D2**Total (D1 – D2)**= \$ 201.05If there is a surplus, deduct any refund of candidate's or
spouse's contributions to the campaign- \$ 201.05

Surplus (or deficit) for the campaign

= \$ NIL D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

Schedule 1 - Contributions

Part I - Summary of Contributions

Contributions in money from candidate and spouse

+ \$ 3000.-

Contributions in goods and services from candidate and spouse
(include value listed in Table 3 and Table 4)

+ \$ 429.48

Total value of contributions not exceeding \$100 per contributor

- Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).

+ \$ NIL

Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2)

- Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).

+ \$ 4800.-

Less: Contributions returned or payable to the contributor

- \$ (201.05)

Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25

- \$ NIL

Total Amount of Contributions (record under Income in Box C)

= \$ 8028.43^{1A}

Part II - Contributions exceeding \$100 per contributor - individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
P. WHARTON	1460 QUEEN ST W CALEDON L7K 0E4	18/10/24	1000.-	
DAVID SYLVESTER	17535 WILLOUGHBY RD. CALEDON L7K 1V5	18/10/24	400.-	NIL
HILLIARD COX	16530 SHAW'S CREEK RD CALEDON L7K 1K1	18/09/06	200.-	"
J. KENDALL	17121 MISSISSAUGA RD CALEDON L7K 0G1	18/09/10	300.-	"
D. HILLMAN	16849 KENNEDY RD. CALEDON L7C 2H9	18/08/29	700.-	"
R. WAND	20100 MISSAUGA RD. L7K 1M6 CALEDON	18/07/20	300.-	"
S. SINCLAIR	1A DALE AVE TORONTO M4W 1K2	18/07/20	500.-	"
S. CHEPA	17202 HORSESHOE HILL RD. CALEDON L7C 2N6	18/10/01	1000.-	"
I. McCALLUM	988 FORKES CR CREDIT RD. CALEDON L7K 0E7	18/10/01	200.-	"
D. KENDALL	28 CALEDON MT. DRIVE CALEDON L7K 0G4	18/10/16	200.-	"

☐ Additional information is listed on separate supplementary attachment

Total \$ 4800.-

Table 2: Contributions in goods or services from individuals other than candidate or spouse
 (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
Total				NIL

☐ Additional information is listed on separate supplementary attachment

Total for Part II - Contributions exceeding \$100 per contributor
 (Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions)

\$ 4800.1B

Part III – Contributions from candidate or spouse

Table 3: Contributions in goods or services

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
116 WOOD SIGN STAKES	2018/09/10	\$ 200.54
Total		\$ 200.54

☐ Additional information is listed on separate supplementary attachment

Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign
 (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
COROPLAST SIGNS	08/25/ 2000	DO NOT RECALL	10	228.94
☐ Additional information is listed on separate supplementary attachment				Total 228.94

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

☐ Additional schedule(s) attached

Description of fundraising event/activity NONE HELD

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold

\$	2A
X	2B
= \$ <u>NIL</u>	

Total Part I (2A X 2B) (include in Part 1 of Schedule 1)

Part II – Other revenue deemed a contribution

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1.	+	\$	
2.	+	\$	
3.	+	\$	
4.	+	\$	
5.	+	\$	

Total Part II (include in Part 1 of Schedule 1)

= \$ NIL

Part III – Other revenue not deemed a contribution

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1.	+	\$	
2.	+	\$	
3.	+	\$	
4.	+	\$	
5.	+	\$	

Total Part III (include under Income in Box C)

= \$ NIL

Part IV – Expenses related to fundraising event or activity

Provide details

1.	+	\$	
2.	+	\$	
3.	+	\$	
4.	+	\$	
5.	+	\$	
6.	+	\$	
7.	+	\$	
8.	+	\$	

Total Part IV Expenses (include under Expenses in Box C)

= \$ NIL

Auditor's Report*Municipal Elections Act, 1996 (Section 88.25)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

N.A.

Municipality

Date (yyyy/mm/dd)

Contact Information

Last Name or Single Name

Given Name(s)

Licence Number

Address

Suite/Unit No.

Street No.

Street Name

Municipality

Province

Postal Code

Telephone No. (including area code)

Email Address

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

☐ Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.