Office of the Integrity Commissioner

To: Mayor and Members of Council

From: John E. Fleming, Integrity Commissioner

Date: December 31, 2019

Subject: Annual Report (2020)

BACKGROUND

I am pleased to have continued to serve as Caledon's Integrity Commissioner (IC) throughout the calendar 2020 year, having served continuously in this role since early 2011.

As in the past, the terms of appointment require me to report annually to Council summarizing the activities of the Office of the Integrity Commissioner during the calendar year. This Annual Report, then, marks nearly 10 years in this important and still evolving aspect of municipal governance.

ACTIVITIES DURING THE YEAR

1. Inquiries and Investigations

The process outlined in the Code of Conduct pertaining to Complaints is quite clear as to the steps required. The first step is for the IC to undertake an Intake Review (S 11.6) to determine whether an investigation is appropriate.

On five (5) different occasions throughout 2020, I received what I interpreted as a filed complaint. For clarity, the interpretation of those communications as <u>complaints</u> is my interpretation, since not all are formally stated or formatted as such, but in each case the complainant was clearly expressing concern about a specific incident. The purpose of the Intake Review is to determine whether an investigation is appropriate, or whether some other means of redress might be more appropriate.

Two (2) of those matters fell well outside my mandate as IC. That finding was directly or indirectly communicated to the complainants, and no further action was taken.

One (1) of the complaints did not contain sufficient grounds for me to proceed to an investigation; the complainant was so advised and no further action was taken.

The final two (2) complaints were resolved informally, a process which the Code of Conduct provides for as an alternative (and preferable) means of redress.

In sum, then, no investigations were undertaken in 2020, and consequently no reports have been submitted to Council.

I make note of the fact that no requests were made to me to launch an inquiry under the new provisions of the Municipal Conflict of Interest Act. Consequently, no inquiries under this new role for the IC were undertaken.



2. Requests for advice

On occasion during the year, I am asked for advice by a member of Council or of a Designated Board and on occasion by senior staff, regarding compliance with the Code of Conduct. Such requests continued to be relatively few in 2020, although the training sessions for members of the Town's Designated Boards did generate several advice-type questions.

Apart from the training sessions, requests for advice have come in writing, and have been responded to accordingly, as now required by the legislation.

There were four (4) such requests for advice to which I responded during the year.

Annually, I have stressed the importance attached to Members of Council, and now Members of Designated Boards, being aware that their IC is readily available for such advice. I stress that once again. The Integrity Commissioner is here, in the first instance, to avoid problems, and both education and advice are central to that proactive approach. It is important that Councillors and Members of Designated Boards take advantage of this aspect of the ICs role.

As in the past, the source and nature of those requests, and the advice I provide, are held confidential between my office and the person making the request.

3. Requests for other services

As members of Council will recall, a part of my policy-related work this year centred on assisting staff and your consultant the completion of a policy on Council Staff Relations, as well as orientation and training for both Councillors and members of designated boards of the Town. I'm pleased to report that there was good engagement in those sessions, and I hope that such training has mitigated against complaints being filed.

I will be pleased to work with staff to arrange similar opportunities early in 2021.

4. Municipal Integrity Commissioners of Ontario (MICO)

As a result of the pandemic, both 2020 scheduled meetings of MICO have been held virtually. While I continue to be involved, the engagement of the members has been considerably curtailed. Of note is the fact that the number of integrity commissioners across the province has understandably grown considerably, recognizing the fact that all municipalities are now required to either have or have access to an IC.

5. The Collingwood Judicial Inquiry

Stemming from a request by the Town Council in Collingwood, Associate Chief Justice Frank Marrocco conducted a lengthy inquiry into alleged wrongdoing on the part of several individuals associated with the Town. On November 2, 2020 Justice Marrocco released his findings in a comprehensive report within excess of 300 recommendations.

I should advise that I participated in a panel of 3 expert witnesses at the Inquiry and was pleased to see some of my comments reflected in the Report, and also pleased on your behalf that Caledon's Code of Conduct was cited as an example of effective Codes in Ontario.

For easy reference, should anyone be interested in reading the Report, the link to it is included below. http://www.collingwoodinquiry.ca/



Charting the way forward into 2021

As I write this Annual Report, I am deeply cognizant of the profound impact that COVID-19 has had on the global community, and most certainly on Caledon and Peel Region.

I trust that we will soon be on the journey to something close to what we knew as normal. I look forward to working with the Council and the members of its Designated Boards over the months ahead and will be working with senior staff to plan a 'refresh' session for Members in the early part of the new year.

Respectfully submitted,

John E. Fleming Integrity Commissioner

